

## Controlling Person Tax Residency Self-Certification

### Section 1: Controlling Person details

All fields marked \* are mandatory

<b>Full legal Name*</b>			
<b>Date of Birth*</b>			
<b>Physical address*</b> <small>(Do not provide a PO Box or in-care-of address)</small>	Street address		
	Suburb		
	Town/City		
	Postcode		
	Country		
<b>NZ IRD Number*</b>		<b>Tax Rate*</b>	

### Section 2: Controlling Person tax residence(s)

- Are you a current tax resident of another country (other than NZ)?\*  No  Yes
- Are you a US Person (i.e. US Citizen) for the purposes of the Foreign Account Tax Compliance Act (FATCA)?\*  No  Yes  
*(Please note US Persons are considered to be Tax Residents of the US. For further information please refer to [ird.govt.nz](http://ird.govt.nz) and search for 'FATCA'.)*

If you answered Yes to any of the questions above please complete the following table:

	Country of Tax Residence 1	Country of Tax Residence 2	Country of Tax Residence 3
<b>Countries of Tax Residence</b> <small>(Other than New Zealand)</small>			
<b>Tax Identification Number (TIN)</b> (or country equivalent)			
<b>OR</b> Select reason if TIN is not provided	<input type="checkbox"/> Country doesn't issue TIN <input type="checkbox"/> Country has not issued a TIN to me <input type="checkbox"/> Country doesn't require collection of TIN under domestic law	<input type="checkbox"/> Country doesn't issue TIN <input type="checkbox"/> Country has not issued a TIN to me <input type="checkbox"/> Country doesn't require collection of TIN under domestic law	<input type="checkbox"/> Country doesn't issue TIN <input type="checkbox"/> Country has not issued a TIN to me <input type="checkbox"/> Country doesn't require collection of TIN under domestic law
If you selected 'Country has not issued a TIN to me' above, please provide an explanation			

**Section 3: Declaration and Consent**

I confirm the information in this form is true and complete. I understand that not giving information or giving false information could have serious consequences under New Zealand law.

I authorise Somerset Smith Partners "SSP" to make any enquiries it considers necessary for confirmation of the above.

I understand that the information and forms will be held by SSP. I also understand that SSP may have to share the information and forms with Inland Revenue, who may exchange it with tax authorities of overseas jurisdictions.

I certify that all statements made in this Declaration also extend to any information that I (or an authorised person on my behalf) may supply to SSP, in whatever manner, subsequent to signing this form.

**I agree to provide extra information if asked and if my circumstances or the entity's circumstances change or the information becomes incorrect, I will promptly provide SSP with updated information within 20 days of the change including completing an updated tax residency self-certification form.**

**A change in the entity's circumstances includes where a beneficiary receives a distribution from a trust or intends to exercise vested rights.**

<b>Signature:</b>
<b>Name:</b>
<b>Date:</b>